



04772 US PTO

PTO/SB/05 (08-04)

Approved for use through 07/31/2006. OMB 0651-0032.
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|-------------------------------|---|
| Attorney Docket No. | R2184.0299/P299 |
| First Inventor | Takehide Ohno |
| Title | METHOD AND APPARATUS FOR TILT CORRECTION AND OPTICAL DISK RECORDER/PLAYER USING TILT CORRECTION |
| Express Mail Label No. | 16018 |

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages **62**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed Sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets **14**]
5. Oath or Declaration [Total Sheets **1**]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: MS Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or ii. Paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document (*if applicable*)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).
17. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____

Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | | | |
|--|--|-----------|--|
| <input checked="" type="checkbox"/> Customer Number: | 24998 | | <input checked="" type="checkbox"/> Correspondence address below |
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| Address | 2101 L Street NW | | |
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| | | | |
|-------------------|------------------|-----------------------------------|------------------|
| Name (Print/Type) | Mark J. Thronson | Registration No. (Attorney/Agent) | 33,082 |
| Signature | | | Date |
| | | | January 30, 2004 |

10/767070

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PTO/SB/17 (10-03)

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 770.00)

| Complete if Known | |
|----------------------|-----------------------|
| Application Number | Not Yet Assigned |
| Filing Date | Concurrently Herewith |
| First Named Inventor | Takehide Ohno |
| Examiner Name | Not Yet Assigned |
| Art Unit | N/A |
| Attorney Docket No. | R2184.0299/P299 |

| METHOD OF PAYMENT (check all that apply) | | | | | FEE CALCULATION (continued) | | | | | |
|---|---|--------------------------------------|--------------------------------|-------------------------------|--------------------------------------|------------------|-----------------|----------------|--|------------------------|
| <input type="checkbox"/> Check | <input checked="" type="checkbox"/> Credit Card | <input type="checkbox"/> Money Order | <input type="checkbox"/> Other | <input type="checkbox"/> None | 3. ADDITIONAL FEES | | | | | |
| <input checked="" type="checkbox"/> Deposit Account: | | | | | Large Entity | Small Entity | Fee Description | | | Fee Paid |
| Deposit Account Number 04-1073 | | | | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| Deposit Account Name Dickstein Shapiro Morin & Oshinsky LLP | | | | | 1051 | 130 | 2051 | 65 | Surcharge – late filing fee or oath | |
| The Director is authorized to: (check all that apply) | | | | | 1052 | 50 | 2052 | 25 | Surcharge – late provisional filing fee or cover sheet. | |
| <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments | | | | | 1053 | 130 | 1053 | 130 | Non-English specification | |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s) | | | | | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. | | | | | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| | | | | | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| | | | | | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| | | | | | 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| | | | | | 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| | | | | | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| | | | | | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| | | | | | 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| | | | | | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| | | | | | 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| | | | | | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| | | | | | 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | |
| | | | | | 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| | | | | | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| | | | | | 1502 | 480 | 2502 | 240 | Design issue fee | |
| | | | | | 1503 | 640 | 2503 | 320 | Plant issue fee | |
| | | | | | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| | | | | | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| | | | | | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| | | | | | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| | | | | | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| | | | | | 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37CFR 1.129(b)) | |
| | | | | | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| | | | | | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | | | | | | |
| SUBTOTAL (1) (\$ 770.00) | | | | | *Reduced by Basic Filing Fee Paid | | | | | SUBTOTAL (3) (\$ 0.00) |
| ** or number previously paid, if greater; For Reissues, see above | | | | | | | | | | |
| SUBMITTED BY (Complete if applicable) | | | | | | | | | | |
| Name (Print/Type) | Mark J. Thronson | | | Signature | Registration No. (Attorney/Agent) | 33,082 | Telephone | (202) 775-4742 | | |
| Signature | | | | | Date | January 30, 2004 | | | | |